



Third Party Due Diligence Questionnaire and Declaration

Appendix 1 of Lenis' Guidelines for Business Partners

Insert organization name

(referred to in the text as the "**Organization**")

Preamble

This questionnaire is used by Lenis' Business Compliance to perform the Due Diligence of Third Parties and is a part of Lenis' Guidelines for Business Partners that include definitions of key terms and expectations. Lenis' Guidelines for Business Partners are available at Lenis' website under the section "Our Policies".

Lenis will use the content provided by Business Partners within this form to evaluate the qualifications and experience of potential Third Party and its Representatives. Please complete this form in its entirety; any questions that are not relevant can be noted as not applicable ("N/A").

We will treat all information provided in this questionnaire as strictly confidential.

THIRD PARTY DUE DILIGENCE QUESTIONNAIRE

1.	Organization name	
2.	Organization address	
3.	Name, e-mail address, and telephone number of the official representative of the Organization	
4.	Please list all principals and owners of your Organization. <i>(If your Organization is publicly held or publicly traded, please provide all principals and owners of your Organization with 5% or greater share.)</i>	
5.	Has your Organization changed its name or done business under a different name in the last five (5) years? If so, what are the names previously used?	
6.	Number of employees	
7.	Do you have appropriate expertise and experience for the activity?	☐ YES – please list some key references (concrete as possible) ☐ NO – please comment
8.	Do you, your Organization, or any shareholder, owner, officer, director, senior manager, or other significant employee of your Organization (either directly or through any operating entity) have any direct or indirect financial or familial relationships with healthcare professionals or public officials?	☐ YES – please explain honestly and briefly ☐ NO
9.	Do you, your Organization, or any shareholder, owner, officer, director, senior manager, or other significant employee of your Organization (either directly or through any operating entity) currently hold any office or position in any political party, or are candidates for any political office or position?	☐ YES – provide their full names and affiliation with the government or local governmental entity ☐ NO



10.	Do you use contractors?	☐ YES - which processes are concerned? ☐ NO
11.	Will the subcontractor(s) or subagent(s) interact with government officials, including employees of state-owned healthcare facilities or health care professionals on Lenis' behalf?	☐ YES - which processes are concerned? ☐ NO
12.	To fulfil services for Lenis, do you anticipate interacting with government officials, including state-owned healthcare facilities?	☐ YES - which processes are concerned? ☐ NO
13.	Have you, your Organization, or any shareholder, owner, officer, director, senior manager, or other significant employee of your Organization (either directly or through any operating entity) been involved in any activity, investigation or legal proceeding, or received any written communication or claim relating to activities described in Lenis' Guidelines for Business Partners?	☐ YES – please explain in detail ☐ NO

Comments from your Organization

Please provide any additional information you feel Lenis should be aware of:



DECLARATION BY THE ORGANISATION

1. The undersigned hereby:
 - certifies that the answers to questions provided above and in any attachments are to the best of their knowledge, true, correct, and complete;
 - acknowledges Lenis' reliance upon the provided information in its evaluation of whether to engage in a business relationship with me and/or my Organization;
 - agrees to immediately notify Lenis of any changed circumstances that would make this disclosure no longer true, correct, and complete;
 - acknowledges that falsification of information on this questionnaire will result in the immediate disqualification for consideration on this specific Activity and/or future Business relationship;
 - acknowledges that a background check will be conducted on my organization and the officers listed herein;
 - further certifies that they understand that the completion of this Questionnaire in no way:
 - authorizes them or their Organization to act on Lenis' behalf,
 - creates a contractual relationship of any kind, whether expressed or implied, between them or their Organization and Lenis, or
 - creates an expectation on them or their Organization's part that such a relationship may ever be consummated.
2. We confirm that we have read and understood Lenis' Guidelines for Business Partners, available at Lenis website under the section "Our Policies". and confirm that we:
 - will comply with Lenis' Guidelines for Business Partners and abide by our representations, warranties, undertakings and covenants outlined in this Declaration in all our trading activities involving Lenis;
 - monitor Lenis website for any updates to the Guidelines for Business Partners and acknowledge that Lenis will inform us of any significant changes to these guidelines;
 - ensure that no breach of Lenis' Guidelines for Business Partners is committed by our staff, including directors, officers, employees, agents or representatives;
 - ensure that all our staff involved with any Lenis activities are reasonably informed about Lenis' Guidelines for Business Partners;
 - inform Lenis immediately if we become aware of any concerns/challenges, or issues.
3. We also understand that:
 - if the Organisation does not comply with any provision of Lenis' Guidelines for Business Partners Lenis shall have the right to terminate any agreement with the Organisation with immediate effect upon written notice, without any penalty or any other obligations towards



the Organisation, and If the agreement or contract is not yet in force, it shall be considered as never entered;

- Lenis reserves the right to inspect and/or audit the Organisation records and practices in connection to the agreement between Lenis and the Organisation to ensure compliance with all Relevant Laws and with all representations, warranties, and covenants in this clause. Organisation shall provide reasonable assistance and access in connection with any such inspection and/or audit;
- Organisation shall indemnify and hold Lenis harmless from all claims, liabilities, loss, damages, and expenses, including reasonable attorneys' fees, arising out of or relating to any breach by Organisation of its representations, warranties, undertakings, or covenants in this clause.

Authorized representative of the Organization

Signature:

Name:

Title:

Date: